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 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/975,552	10/12/2001	Douglas A. Kirkpatrick	306.07

CONFIRMATION NO. 7515

FORMALITIES LETTER



\*OC000000007059313\*

 021249  
 FUSION LIGHTING INC  
 PAUL E STEINER  
 7524 STANDISH PLACE  
 ROCKVILLE, MD 20855

Date Mailed: 11/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

*A copy of this notice **MUST** be returned with the reply.*

 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE


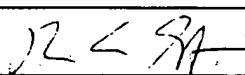
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	<b>Application Number</b>	09/975,552	
	<b>Filing Date</b>	October 12, 2001	
	<b>First Named Inventor</b>	KIRKPATRICK	
	<b>Group Art Unit</b>	2821	
	<b>Examiner Name</b>	unassigned	
<b>Total Number of Pages in This Submission</b>	7	<b>Attorney Docket Number</b>	306.07

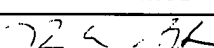
**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <input checked="" type="checkbox"/> Declaration
<b>Remarks</b>		

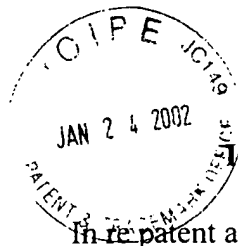
**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Paul E. Steiner, Reg. No. 41,326	
Signature		21249 PATENT & TRADEMARK OFFICE
Date	January 4, 2002	

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 01/04/2002			
Typed or printed name	Paul E. Steiner		
Signature		Date	January 4, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COPY OF PAPERS  
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In re patent application of:

Douglas A. KIRKPATRICK, *et al.* \_\_\_\_\_

Serial No.: 09/975,552

Group: 2821

Filed: October 12, 2001

Examiner: (to be assigned)

For: HIGH FREQUENCY INDUCTIVE LAMP AND POWER OSCILLATOR

## RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents

**BOX: Missing Parts**

Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts dated November 13, 2001 (copy attached), transmitted herewith is the requested surcharge and basic filing fee. Also enclosed are the Declaration and a Power of Attorney.

The filing fee has been calculated as shown below:


(1) Description	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Total
Basic Fee			\$740.00	\$ 740.00
Total Claims	2 - 20 =	0	× \$18.00	0.00
Independent Claims	2 - 3 =	0	× \$78.00	0.00
Surcharge Under 37 CFR § 1.16(e)			\$130.00	130.00
			<b>TOTAL FEE:</b>	<b>\$870.00</b>

The Commissioner is hereby authorized to charge the above fee in the amount of **\$870.00** to Deposit Account No. 06-2450. The Commissioner is also hereby authorized to charge any deficiency in the required fee or credit any overpayment of the fee to Deposit Account No. 06-2450. The Commissioner is also hereby authorized to charge any fees under 37 CFR § 1.16 and § 1.17 which may be required during the entire pendency of this application to Deposit Account No. 06-2450 (under Order No. 306.07). A duplicate copy of this authorization is attached.

If there are any questions regarding the foregoing, the examiner is invited to contact the undersigned attorney at the telephone number listed below.

Respectfully submitted,

Date: January 4, 2001Customer Number: **21249**

  
\_\_\_\_\_  
Paul E. Steiner, Reg. No. 41,326  
(301) 284 - 7263

**21249**

PATENT &amp; TRADEMARK OFFICE

Attorney Docket No. **306.07**